

# Forum Kapitalmarktinstrumente - Kapitalmarktfinanzierung

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## 15. Kapitalmarktforum

25th October 2016

Zunfthaus zur Zimmerleuten, Limmatquai 40 Zürich



# Forum Kapitalmarktinstrumente - Kapitalmarktfinanzierung

## Deutschland | Österreich | Schweiz



**Frédéric Berney** is CRO for BlueOrchard Finance, a pioneer of impact investing based in Zürich and Founder of Risk Alternatives, an advisory firm that brings solutions in Risk Management, systems and corporate governance. Before, he held positions with Harcourt (Alternative Investment), Sanwa International in London, Credit Suisse First Boston. Frédéric graduated from the University of Lausanne in Economics and holds a Master's in Finance.



**Christian Bretscher** is Director of the Zurich Banking Association since 2013. He is communication consultant and master of law. As one of the initiators of Swiss Fintech Innovations, Bretscher is now member of its advisory board. Since 2003 he is co-owner of bretscher+partner, an agency for public relations, public affairs and strategy. He is member of the board of directors for different Swiss SME's and Foundations. From 1991 to 2000 he was member of Zurich's cantonal parliament, from 2000 to 2005 member of the constitutional council.



**Mathieu Cantegreil** is senior analyst at International Committee of the Red Cross (ICRC). His focus is on understanding development organisations and other non-tradition humanitarian donors. Prior to joining the ICRC he worked in philanthropy and science funding, including for UBS and the European Foundation Centre in Brussels. He started his career in science policy for the French ministry of transport and is a graduate of University College London and the University of Southampton.



**Alexandre Dumas** ist seit 15 Jahren für die Eidgenössische Steuerverwaltung tätig und führt die Abteilung für den Informationsaustausch in Steuersachen („SEI“) seit deren Gründung im Oktober 2010. Er studierte Rechtswissenschaften an der Universität Fribourg und hält einen Master of Laws in Taxation. Alexandre Dumas war Fürsprecher vom Kanton Bern, Präsident am Militärgericht II, Beisitzer am Wirtschaftsstrafgericht des Kantons Fribourg sowie zwei Jahre für die KPMG in Zürich tätig.



**Sabine Döbeli** is the CEO of Swiss Sustainable Finance, an association with 90 members and networking partners and representations in Zurich, Geneva and Lugano. Before, she was Head of Corporate Sustainability Management at Vontobel and Head Sustainability Research at Zürcher Kantonalbank. Sabine holds a Masters in Environmental Sciences from ETH Zurich and a post-graduate degree in business administration from University of Basel. SSF's vision is to make Switzerland a leading center in sustainable finance to spur growth of Swiss economy while benefiting society at large.



**Paul Hondius** is a policy advisor with the OECD Centre for Tax Policy and Administration, focusing on exchange of information policy, Common Reporting Standard, the Multilateral Convention on Mutual Administrative Assistance, TRACE and the BEPS transparency actions (Country-by-Country Reporting and the exchange on tax rulings). Born in the Netherlands he studied law and economics in St. Gallen, Berlin, Washington DC and Sydney (lic.iur. HSG in 2005, LL.M. in 2007). As a Big-Four-tax advisor in Luxembourg he focused on financial services EOI requests and FATCA.



**Oliver Karius** is Partner at LGT Impact Ventures from its inception in 2007. He has over 18 years of experience in finance, sustainability and impact investing and sits on M-KOPA Solar's, LGT's Sustainability and Acre Africa's board. Previously, Oliver worked for VantagePoint Global, DeRisk Advisory Services, WEF aligned African Social Entrepreneurship Network (ASEN), Forma Futura SAM / Dow Jones Sustainability Index (DJSI), F&C. Oliver graduated in Global Environmental Policy at Imperial College, UK, and in Biology at LMU, Germany.



**Ivo Knoepfel** is the founder and managing director of onValues Ltd. in Zurich. onValues supports a wide range of clients in disciplined, long-term oriented approaches to wealth management. The integration of non-traditional value drivers such as environmental, social and governance issues in investment strategies and processes is a focus area of onValues. Clients include pension funds, foundations, family offices, financial institutions and public sector institutions.



**Dr. Nicolas Passadelis** heads the Information Technology/ Communications and Data Protection Practice Group of Baker & McKenzie Zurich. His practice focuses on advising clients in all legal matters fuelled by digitalization. Dr. Passadelis graduated from the University of Basle Law Faculty in 1995 and was awarded a Master of European Community Law from the College of Europe (Bruges/Belgium) in 1998. In 1999, he obtained a PhD from the Basle Law Faculty. He also serves as guest lecturer for information technology and data protection law at the Zurich University of Applied Sciences in Winterthur.



**Guido Rudolphi** - ursprünglich Wirtschafts-journalist bei verschiedenen Schweizer und ausländischen Medien - spezialisiert sich seit 1994 auf Cybersecurity mit den Schwerpunkten Internet- und Computerforensik. Er berät Firmen und Regierungsstellen in IT-Sicherheitsfragen und überaus erfolgreich, im Internet Cyberkriminelle aufzuspüren. Seit 2010 beschäftigt er sich mit Bitcoin und Blockchain und gründete die Firma Crypto-Cash. Gegenwärtig arbeitet er mit einem Team daran, die Bitcoin und Blockchain-Technologie bei Banken zu integrieren.



**Timothy Stanley** is Senior Partner for Russia and CIS at Control Risk in Moscow. Prior, he was responsible for due diligence and fraud and investigations in the risk and compliance unit of a Big Four firm. At De La Rue he was responsible for sales of banknotes in the Caribbean and Latin America. Tim has an Oxford degree in Russian literature and a Georgetown (Washington DC) business administration degree (MBA). He has monitored elections for the EU and the Organization for Security and Co-operation in Europe in Africa, the Caucasus and Central Asia.



**Dr. Benedict Ugarte Chacón** - Dipl.-Pol., Dr. rer. pol. - arbeitete von 2012 bis 2016 als Referent der Piratenfraktion im Berliner Abgeordnetenhaus im Untersuchungsausschuss zum Flughafen BER und ist seit September 2016 Referent der Linksfraktion im Deutschen Bundestag im Untersuchungsausschuss zu Cum/Ex-Geschäften. Er publiziert regelmäßig zu Berliner Themen. Promovierte zu den Vorgängen um die Bankgesellschaft Berlin AG, die bis zur Finanzmarktkrise ab 2007 als größte deutsche Bankpleite galten.



**Dr. Regine Sauter** studierte an der Hochschule St. Gallen Staatswissenschaften und promovierte 1995 mit einer Dissertation im Bereich Datenschutzrecht. Seit 2012 ist sie Direktorin der Zürcher Handelskammer, wo sie sich für liberale Rahmenbedingungen für die Wirtschaft einsetzt. Von 2004 – 2015 war sie Mitglied des Zürcher Kantonsrats, im Oktober 2015 wurde sie in den Nationalrat gewählt. Regine Sauter ist Mitglied der Freisinnig-Demokratischen Partei (FDP).

### Executive Board

Dr. Alexander Lindemann  
Raoul Würzler  
Prof. Dr. Oliver Klein

### Headquarter

Kapitalmarktforum Schweiz  
Dufourstrasse 22  
CH-8008 Zürich

Telephone +41 (0) 442567360  
info@kapitalmarktforum-schweiz.ch  
www.kapitalmarktforum-schweiz.ch

## 15. Kapitalmarktforum

**Date:** 25th October 2016  
**Venue:** Zunfthaus zur Zimmerleuten, Limmatquai 40 in Zürich  
**Motto:** "Swiss Financial Centre in Fourth Industrial Revolution"  
**Moderation:** Raoul Würigler

11:45 - 12:30

Registration & Sandwiches

12:30

Welcoming Address by the Forum's President

### -- Fintech. -----

12:35

Key Note „Business and Finance Centre Zurich - fit for industry 4.0?“  
**Dr. Regine Sauter**, Member of Swiss Parliament & CEO Zurich Chamber of Commerce EN

13:15

Hot Chair "Cryptofinance – Opportunities & Risks"  
**Guido Rudolphi**, Bitcoin Expert, Miner & Internet Detective DE  
 Interviewer: **Christian Bretscher**, CEO Zurich Banking Association

14:00 - 14:30

\*\*\*Break\*\*\*

### -- Big Data. Tax. Automatic Exchange of Information. -----

14:30

Risks for UHNWIs in Russia and other former Soviet Union Countries EN  
**Tim Stanley**, Senior Partner, Control Risk in Moscow

15:00

Was geschieht mit unseren Daten? - Sicherheitsrisiken für „unbescholtene Bürger“?  
**Dr. Benedict Ugarte Chacón**, DIE LINKE, Berlin DE

15:30

Panel Diskussion: "Automatische Steuerinformation - Datenschutz & Rechtsschutz?"  
 OECD Headquarter **Paul Hondius**, OECD in Paris DE  
 Swiss Federal Tax Administration **Alexandre Dumas**, Head of Tax Information, ESTV  
 IT und Datenschutz **Dr. Nicolas Passadelis**, LL.M. Partner bei Baker&McKenzie  
 Moderator: **Dr. Alexander Lindemann**, Partner Lindemann Law

16:30 - 17:00

\*\*\*Break\*\*\*

### -- Social Responsible Investments. -----

17:00

Sustainable investments: alternative investment ideas to diversify risk exposure:  
**Sabine Döbeli**, Swiss Sustainable Finance EN  
**Oliver Karius**, LGT Impact Investing: Family Office und Impact Investing

17:45

Panel:  
 Combining a positive impact on development and environment with diversified risk exposure?  
 Humanitarian Aid **Mathieu Cantegreil**, ICRC International Committee of the Red Cross  
 Impact Investing **Frédéric Berney**, BlueOrchard.com EN  
 Investor's Expectations **Oliver Karius**, LGT Impact Ventures  
 Investment Advisor's Expectations **Ivo Knoepfel**, onValues Ltd  
 Moderator: **Raoul Würigler**, Foreign Banking Association

18:45

Apéro Riche

NOTE: The Conference is bilingual: presentations will be either in English or German, questions can be asked in both English and German.



**Dr. Oliver Klein** is a board member of the Capital Market Forum. He worked for KPMG and pwc since 2004, from 2010 to 2012 he was seconded to pwc's Saudi Arabia office. In 2014 he established a boutique law firm with the former Federal Attorney Alexander von Stahl. As experienced real estate tax advisor he has assisted countless investors, structured various funds, developed investment strategies and was involved in numerous deals. He is familiar with Shariah compliant investment strategies. Oliver holds an MBA from Vienna University, an LLM from Heidelberg University and a doctorate in law. He is a German qualified lawyer and tax advisor. Oliver is a lecturer at a private university in Frankfurt.



**Dr. Alexander Lindemann** is President of the Capital Market Forum Switzerland and Partner at Lindemann Rechtsanwälte. He advises investment funds, asset managers, private clients, investors and banks in legal, regulatory and tax matters. As a lawyer he is admitted in Switzerland, Luxembourg, Germany and Liechtenstein. In addition, he is a frequent speaker and publisher on capital market and tax issues. Alexander studied at University of Bayreuth, Julius-Maximilian University Würzburg and W&L School of Law in Virginia /USA. Alexander has worked in leading positions at PricewaterhouseCoopers, Credit Suisse & Clifford Chance before founding Lindemann Rechtsanwälte. He has been board member of a pension fund for many years.



**Raoul Oliver Würigler** is Vice-President of the Capital Market Forum Switzerland. He works for the Association of Foreign Banks in Switzerland since 2000 and is its Deputy Secretary General since 2001. In his function Raoul monitors current regulatory developments in the Swiss financial market regulation and assesses their impact on foreign financial intermediaries in Switzerland. Raoul also regularly speaks on these topics in Switzerland and abroad. He is member of the board of a Geneva-based asset manager. Prior to joining the Association, Raoul worked in the corporate clients' department of a major Swiss bank. He graduated in politics from the University of Lausanne.

## Mission Statement

### Kapitalmarktforum Schweiz

Since financial markets connect the capital-seeking economy and profit-seeking investors, they are a vital component to economic growth and ultimately to the well fare of the whole society. Thus a well-functioning capital market contributes to a major extent to Switzerland's economic prosperity. It has become an important and integral part of our economy while connecting corporations, the public sector, consumers and investors, banks, insurance companies, security brokers as well as private or institutional asset managers, pension funds, foundations, family offices or other financial intermediaries. Furthermore, local authorities, the national bank and all other actors on the financial market are depending on its stability.

Unlike its stakeholders, financial markets do not have their own Forum. Thus, the main purpose of the Capital Market Forum Switzerland is to close this gap. The Forum connects decision-makers in a club atmosphere and enhances critical dialogue between stakeholders of the capital market, especially with regard to topics like capital market-capital market instruments and capital market funding.

Since the main purpose of the forum is the well-being of capital and financial markets, the club is politically neutral. By organising events and other activities, the Forum offers decision-makers to discuss current hot topics in a relaxed environment. For the greater good, the Forum aims to bring together opinion-leaders on capital market relevant topics.

In order to embrace the cross-border nature of the Forum, the events are held annually in Switzerland, Germany and Austria. In addition, a broad scientific board guarantees a strong basis of the Capital Market Forum Switzerland, being member of its European umbrella association.

#### Executive Board

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## Registration

for the 15. Kapitalmarktforum Schweiz in Zürich

Tuesday, 25th October 2016, 11:45 - 18:45 at Zunfthaus zur Zimmerleuten,  
Limmatquai 40 in Zürich.

I would like to participate in the 15. Kapitalmarktforum Schweiz, taking place 25th October 2016 in Zürich

### Conference fee:

Members: 180,- CHF

Non-Members: 385,- CHF

I would like to apply for a Private Membership with Kapitalmarktforum Schweiz for a membership fee of 120,- CHF annually.

### We would like to become corporate member:

Corporate Member Gold including 2 (two) conference seats at 600,- CHF annually.

Corporate Member Platin including 3 (three) conference seats at 900,- CHF annually.

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Title (Mrs./Mr.), academic, grades, name & surname

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Street, No.

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Postal address

---

Telephone, E-Mail

---

Corporation

---

Location, Date

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Signature

### Please fill in the registration form and send it to:

Mail: Kapitalmarktforum Schweiz  
Dufourstrasse 22, CH-8008 Zürich

Fax: +41 (0) 44 256 73 41

E-Mail: [info@kapitalmarktforum-schweiz.ch](mailto:info@kapitalmarktforum-schweiz.ch)

### Note:

Upon request we may gladly assist you in finding a suitable hotel.

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